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SHEET 1 OF 19 FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE ATTY. DOCKET NO. APPLICATION NO. PATENT AND TRADEMARK OFFICE DEXCOM.006C1 10/768,889 INFORMATION DISCLOSURE STATEMENT BY APPLICANT **APPLICANT** Brauker, et al. EVERAL SHEETS IF NECESSARY) **FILING DATE GROUP** January 29, 2004 3738 8 2 2005 S

| <b>%</b> | 35   |                 | ·        | U.S. PATENT DOCUMENTS |       |          |                                 |
|----------|------|-----------------|----------|-----------------------|-------|----------|---------------------------------|
| INITIAL  | DEMA | DOCUMENT NUMBER | DATE     | NAME                  | CLASS | SUBCLASS | FILING DATE<br>(IF APPROPRIATE) |
|          | 1.   | 2002-0022883 A1 | 02/21/02 | Burg                  |       |          | 6/12/01                         |
|          | 2.   | 2002-0042090 A1 | 04/11/02 | Heller, et al.        |       |          | 11/29/01                        |
|          | 3.   | 2002-0151796 A1 | 10/17/02 | Koulik                |       |          | 2/9/01                          |
|          | 4.   | 2002-0182241 A1 | 12/05/02 | Boerenstein, et al.   |       |          | 1/2/02                          |
|          | 5.   | 2002-0193885 A1 | 12/19/02 | Legeay, et al.        |       |          | 3/25/02                         |
|          | 6.   | 2003-0032874 A1 | 02/13/03 | Rhodes, et al.        |       |          | 07/27/01                        |
|          | 7.   | 2003-0036803 A1 | 02/20/03 | McGhan, et al.        |       |          | 8/14/01                         |
| -        | 8.   | 2003-0076082 A1 | 04/24/03 | Morgan, et al.        |       |          | 12/28/01                        |
|          | 9.   | 2003-0078481 A1 | 04/24/03 | McIvor, et al.        |       |          | 11/26/02                        |
|          | 10.  | 2003-0078560 A1 | 04/24/03 | Miller, et al.        |       |          | 12/27/01                        |
|          | 11.  | 2003-0091433 A1 | 05/15/03 | Tam, et al.           |       |          | 11/15/01                        |
|          | 12.  | 2003-0217966 A1 | 11/27/03 | Tapsak, et al.        |       |          | 08/22/03                        |
|          | 13.  | 2004-0011671 A1 | 01/22/04 | Shults, et al.        |       |          | 07/27/01                        |
|          | 14.  | 2004-0045879 A1 | 03/11/04 | Shults, et al.        |       |          | 09/09/03                        |
|          | 15.  | 2004-0186362 A1 | 09/23/04 | Brauker, et al.       |       |          | 01/29/04                        |
|          | 16.  | 2004-0199059 A1 | 10/07/04 | Brauker, et al.       |       |          |                                 |
|          | 17.  | 3929971         | 12/30/75 | Roy                   | 423   | 308      | 3/30/73                         |
|          | 18.  | 3966580         | 06/29/76 | Janata, et al.        | 204   | 403.07   | 9/16/74                         |
|          | 19.  | 3979274         | 09/07/76 | Newman                | 204   | 403.09   | 9/24/75                         |
|          | 20.  | 4040908         | 08/09/77 | Clark, Jr.            | 205   | 778      | 3/12/76                         |
|          | 21.  | 4073713         | 02/14/78 | Newman                | 204   | 403.09   | 7/26/76                         |
|          | 22.  | 4076656         | 02/28/78 | White, et al.         | 521   | 064      | 7/20/73                         |
|          | 23.  | 4172770         | 10/30/79 | Semersky, et al.      | 205   | 778      | 3/27/78                         |
|          | 24.  | 4240889         | 12/23/80 | Yoda, et al.          | 204   | 403.09   | 1/24/79                         |
|          | 25.  | 4353888         | 10/12/82 | Sefton                | 424   | 424      | 12/23/80                        |
|          | 26.  | 4388166         | 06/14/83 | Suzuki, et al.        | 204   | 403.05   | 5/15/82                         |
|          | 27.  | 4415666         | 11/15/83 | D'Orazio, et al.      | 204   | 403.11   | 11/5/81                         |

EXAMINER

\*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

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|               |  |                                  | SHE                           | ET 2 OF 19 |
|---------------|--|----------------------------------|-------------------------------|------------|
| FORM PTO-1449 | U.S. DEPARTMENT OF COMMERCE<br>PATENT AND TRADEMARK OFFICE | ATTY. DOCKET NO.<br>DEXCOM.006C1 | APPLICATION NO.<br>10/768,889 |            |
| INFORMATION   | DISCLOSURE STATEMENT                                       |                                  |                               |            |
| В             | Y APPLICANT  | APPLICANT<br>Brauker, et al.     |                               |            |
| (USE SEVERA   | L SHEETS IF NECESSARY)                                     | FILING DATE<br>January 29, 2004  | GROUP<br>3738                 |            |

| •                   | U.S. PATENT DOCUMENTS |                 |          |                   |       |          |                                 |  |  |
|---------------------|-----------------------|-----------------|----------|-------------------|-------|----------|---------------------------------|--|--|
| EXAMINER<br>INITIAL |                       | DOCUMENT NUMBER | DATE     | NAME              | CLASS | SUBCLASS | FILING DATE<br>(IF APPROPRIATE) |  |  |
|                     | 28.                   | 4418148         | 11/29/83 | Oberhardt         | 204   | 403.11   | 11/5/81                         |  |  |
|                     | 29.                   | 4431004         | 02/14/84 | Bessman, et al.   | 600   | 347      | 10/27/81                        |  |  |
|                     | 30.                   | 4436094         | 03/13/84 | Cerami            | 600   | 347      | 1/27/82                         |  |  |
|                     | 31.                   | 4484987         | 11/27/84 | Gough             | 205   | 778      | 5/19/83                         |  |  |
|                     | 32.                   | 4506680         | 03/26/85 | Stokes            | 607   | 120      | 3/17/83                         |  |  |
|                     | 33.                   | 4534355         | 08/13/85 | Potter            | 600   | 360      | 3/26/82                         |  |  |
|                     | 34.                   | 4577642         | 03/25/86 | Stokes            | 607   | 120      | 2/27/85                         |  |  |
|                     | 35.                   | 4650547         | 03/17/87 | Gough             | 205   | 778      | 12/20/85                        |  |  |
|                     | 36.                   | 4671288         | 06/09/87 | Gough             | 600   | 347      | 6/13/85                         |  |  |
|                     | 37.                   | 4686044         | 08/11/87 | Behnke, et al.    | 210   | 500.22   | 12/9/85                         |  |  |
|                     | 38.                   | 4689309         | 08/25/87 | Jones             | 436   | 095      | 9/30/85                         |  |  |
|                     | 39.                   | 4702732         | 10/27/87 | Powers, et al.    | 604   | 020      | 11/21/86                        |  |  |
|                     | 40.                   | 4703756         | 11/03/87 | Gough, et al.     | 600   | 347      | 5/6/86                          |  |  |
|                     | 41.                   | 4711251         | 12/08/87 | Stokes            | 607   | 116      | 3/31/83                         |  |  |
|                     | 42.                   | 4753652         | 06/28/88 | Langer, et al.    | 623   | 001.42   | 12/10/86                        |  |  |
|                     | 43.                   | 4757022         | 07/12/88 | Shults, et al.    | 204   | 403.05   | 11/19/87                        |  |  |
|                     | 44.                   | 4759828         | 07/26/88 | Young, et al.     | 205   | 778      | 4/9/87                          |  |  |
|                     | 45.                   | 4776944         | 10/11/88 | Janata, et al.    | 204   | 403.08   | 9/1/87                          |  |  |
|                     | 46.                   | 4781798         | 11/01/88 | Gough             | 205   | 783      | 5/8/87                          |  |  |
|                     | 47.                   | 4803243         | 02/07/89 | Fujimoto, et al.  | 525   | 100      | 3/25/87                         |  |  |
|                     | 48.                   | 4810470         | 03/07/89 | Burkhardt, et al. | 422   | 056      | 6/19/87                         |  |  |
|                     | 49.                   | 4861830         | 08/29/89 | Ward, Jr.         | 525   | 092 A    | 6/22/87                         |  |  |
|                     | 50.                   | 4889744         | 12/26/89 | Quaid             | 427   | 002.24   | 5/2/88                          |  |  |
| •                   | 51.                   | 4890620         | 01/02/90 | Gough             | 600   | 348      | 2/17/88                         |  |  |
|                     | 52.                   | 4935345         | 06/19/90 | Guilbeau, et al.  | 435   | 014      | 12/30/87                        |  |  |
|                     | 53.                   | 4963595         | 10/16/90 | Ward, et al.      | 525   | 415      | 12/22/88                        |  |  |
|                     | 54.                   | 4984929         | 01/15/91 | Rock, et al.      | 403   | 230      | 5/16/89                         |  |  |

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|               |   |                                  | SHEET 3 OF 19                 |
|---------------|---|----------------------------------|-------------------------------|
| FORM PTO-1449 | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | ATTY. DOCKET NO.<br>DEXCOM.006C1 | APPLICATION NO.<br>10/768,889 |
| INFORMATION   | DISCLOSURE STATEMENT                                    |                                  |                               |
| В             | YAPPLICANT  | APPLICANT<br>Brauker, et al.     |                               |
| (USE SEVERA   | L SHEETS IF NECESSARY)                                  | FILING DATE<br>January 29, 2004  | GROUP<br>3738                 |

| <del></del>         |     |                 | <u> </u> | U.S. PATENT DOCUMENTS |       | <b></b>  |                                 |
|---------------------|-----|-----------------|----------|-----------------------|-------|----------|---------------------------------|
| EXAMINER<br>INITIAL |     | DOCUMENT NUMBER | DATE     | NAME                  | CLASS | SUBCLASS | FILING DATE<br>(IF APPROPRIATE) |
| •                   | 55. | 4986671         | 01/22/91 | Sun, et al.           | 374   | 131      | 4/12/89                         |
|                     | 56. | 4994167         | 02/19/91 | Shults, et al.        | 204   | 403.05   | 7/7/88                          |
|                     | 57. | 5002572         | 03/26/91 | Picha                 | 623   | 023.74   | 11/22/88                        |
|                     | 58. | 5007929         | 04/16/91 | Quaid .               | 623   | 800      | 7/27/90                         |
|                     | 59. | 5059654         | 10/22/91 | Hou, et al.           | 525   | 054.1    | 2/16/89                         |
| •                   | 60. | 5101814         | 04/07/92 | Palti                 | 600   | 347      | 8/11/89                         |
|                     | 61. | 5113871         | 05/19/92 | Viljanto, et al.      | 600   | 581      | 3/15/90                         |
|                     | 62. | 5165407         | 11/24/92 | Wilson, et al.        | 600   | 345      | 4/9/91                          |
|                     | 63. | 5190041         | 03/02/93 | Palti                 | 600   | 347      | 12/27/91                        |
|                     | 64. | 5235003         | 08/10/93 | Ward, et al.          | 525   | 476      | 8/31/90                         |
|                     | 65. | 5271736         | 12/21/93 | Picha                 | 623   | 023.74   | 9/25/92                         |
|                     | 66. | 5314471         | 05/24/94 | Brauker, et al.       | 623   | 023.72   | 4/1/92                          |
|                     | 67. | 5322063         | 06/21/94 | Allen, et al.         | 600   | 347      | 10/4/91                         |
|                     | 68. | 5326356         | 07/05/94 | Della Valle, et al.   | 623   | 015.12   | 2/16/93                         |
|                     | 69. | 5340352         | 08/23/94 | Nakanishi, et al.     | 450   | 057      | 5/28/92                         |
|                     | 70. | 5344454         | 09/06/94 | Clarke, et al.        | 623   | 023.72   | 4/1/92                          |
|                     | 71. | 5348788         | 09/20/94 | White                 | 428   | 131      | 1/30/91                         |
|                     | 72. | 5356786         | 10/18/94 | Heller, et al.        | 205   | 778      | 12/2/93                         |
|                     | 73. | 5372133         | 12/13/94 | Hogen Esch            | 600   | 377      | 2/3/93                          |
|                     | 74. | 5380536         | 01/10/95 | Hubbell, et al.       | 424   | 497      | 8/5/91                          |
|                     | 75. | 5391250         | 02/21/95 | Cheney et al.         | 156   | 268      | 3/15/94                         |
|                     | 76. | 5397848         | 03/14/95 | Yang, et al.          | 525   | 477      | 5/21/93                         |
|                     | 77. | 5428123         | 06/27/95 | Ward, et al.          | 528   | 028      | 4/23/93                         |
|                     | 78. | 5431160         | 07/11/95 | Wilkins               | 600   | 347      | 11/9/93                         |
|                     | 79. | 5453278         | 09/26/95 | Chan, et al.          | 424   | 422      | 1/28/94                         |
|                     | 80. | 5462064         | 10/31/95 | D'Angelo, et al.      | 600   | 584      | 3/14/94                         |
|                     | 81. | 5469846         | 11/28/95 | Khan                  | 600   | 347      | 9/27/94                         |

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|               |  |                                  | SHEET 4 OF 19                 |
|---------------|--|----------------------------------|-------------------------------|
| FORM PTO-1449 | U.S. DEPARTMENT OF COMMERCE<br>PATENT AND TRADEMARK OFFICE<br>DISCLOSURE STATEMENT | ATTY. DOCKET NO.<br>DEXCOM.006C1 | APPLICATION NO.<br>10/768,889 |
|               | Y APPLICANT  | APPLICANT<br>Brauker, et al.     |                               |
| (USE SEVERAL  | . SHEETS IF NECESSARY)   | FILING DATE<br>January 29, 2004  | GROUP<br>3738                 |

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|---------------------|------|-----------------|----------|-----------------------|-------|--|---------------------------------|
| EXAMINER<br>INITIAL |      | DOCUMENT NUMBER | DATE     | NAME                  | CLASS | SUBCLASS   | FILING DATE<br>(IF APPROPRIATE) |
| •                   | 82.  | 5476094         | 12/19/95 | Allen, et al.         | 600   | 342  | 11/15/93                        |
|                     | 83.  | 5496453         | 03/05/96 | Uenoyama, et al.      | 205   | 777.5  | 10/12/94                        |
|                     | 84.  | 5531878         | 07/02/96 | Vadgama, et al.       | 205   | 778  | 2/17/95                         |
|                     | 85.  | 5540828         | 07/30/96 | Yacynych              | 205   | 198  | 2/15/94                         |
|                     | 86.  | 5545220         | 08/13/96 | Andrews, et al.       | 623   | 800  | 11/4/93                         |
| •                   | 87.  | 5545223         | 08/13/96 | Neuenfeldt, et al.    | 435   | 325  | 3/30/95                         |
|                     | 88.  | 5549675         | 08/27/96 | Neuenfeldt, et al.    | 435   | 325  | 1/11/94                         |
|                     | 89.  | 5564439         | 10/15/96 | Picha                 | 604   | 890.1  | 12/27/94                        |
|                     | 90.  | 5569186         | 10/29/96 | Lord, et al.          | 604   | 067  | 4/25/94                         |
|                     | 91.  | 5569462         | 10/29/96 | Martinson, et al.     | 424   | 424  | 3/31/95                         |
|                     | 92.  | 5589563         | 12/31/96 | Ward, et al.          | 528   | 044  | 4/1/94                          |
|                     | 93.  | 5593440         | 01/14/97 | Brauker, et al.       | 424   | 423  | 5/23/94                         |
| •                   | 94.  | 5593852         | 01/14/97 | Heller, et al.        | 435   | 014  | 9/1/94                          |
|                     | 95.  | 5628890         | 05/13/97 | Carter, et al.        | 204   | 403.05   | 9/27/95                         |
|                     | 96.  | 5653756         | 08/05/97 | Clarke, et al.        | 623   | 011.11   | 9/2/94                          |
|                     | 97.  | 5653863         | 08/05/97 | Genshaw, et al.       | 205   | 777.5  | 5/9/96                          |
|                     | 98.  | 5658330         | 08/19/97 | Carlisle, et al.      | 623   | 011.11   | 4/25/95                         |
|                     | 99.  | 5706807         | 01/13/98 | Picha .               | 600   | 345  | 10/11/96                        |
|                     | 100. | 5711861         | 01/27/98 | Ward, et al.          | 600   | 347  | 11/22/95                        |
|                     | 101. | 5713888         | 02/03/98 | Neuenfeldt, et al.    | 604   | 891.1  | 6/5/95                          |
|                     | 102. | 5733336         | 03/31/98 | Neuenfeldt, et al.    | 435   | 325  | 3/30/95                         |
| · ·                 | 103. | 5741330         | 04/21/98 | Brauker, et al.       | 424   | 423  | 6/7/95                          |
|                     | 104. | 5756632         | 05/26/98 | Ward, et al.          | 528   | 028  | 6/2/95                          |
|                     | 105. | 5776324         | 07/07/98 | Usala                 | 600   | 345  | 5/17/96                         |
|                     | 106. | 5777060         | 07/07/98 | Van Antwerp           | 528   | 028  | 9/26/96                         |
|                     | 107. | 5782912         | 07/21/98 | Brauker, et al.       | 424   | 422  | 3/17/94                         |
|                     | 108. | 5783054         | 07/21/98 | Raguse, et al.        | 204   | 403.08   | 4/9/97                          |

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|               |   |                                  | SHEET 5 OF                    |
|---------------|---|----------------------------------|-------------------------------|
| FORM PTO-1449 | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | ATTY. DOCKET NO.<br>DEXCOM.006C1 | APPLICATION NO.<br>10/768,889 |
| INFORMATION   | DISCLOSURE STATEMENT                                    |                                  |                               |
| В             | YAPPLICANT  | APPLICANT<br>Brauker, et al.     |                               |
| (USE SEVERA   | L SHEETS IF NECESSARY)                                  | FILING DATE<br>January 29, 2004  | GROUP<br>3738                 |

| EXAMINER<br>INITIAL |      | DOCUMENT NUMBER | DATE     | NAME                  | CLASS | SUBCLASS | FILING DATE |
|---------------------|------|-----------------|----------|-----------------------|-------|----------|-------------|
| INTIAL              | 109. | 5791344         | 08/11/98 | Schulman, et al.      | 600   | 347      | 1/4/96      |
|                     | 110. | 5795774         | 08/18/98 | Matsumoto, et al.     | 204   | 403.11   | 7/10/97     |
|                     | 111. | 5798065         | 08/25/98 | Picha                 | 264   | 046.4    | 10/2/97     |
|                     | 112. | 5800529         | 09/01/98 | Brauker, et al.       | 623   | 002.38   | 6/7/95      |
|                     | 113. | 5807406         | 09/15/98 | Brauker, et al.       | 424   | 423      | 10/7/94     |
|                     | 114. | 5811487         | 09/22/98 | Schulz, Jr., et al.   | 524   | 862      | 12/16/96    |
|                     | 115. | 5840240         | 11/24/98 | Stenoien, et al.      | 264   | 425      | 11/3/95     |
|                     | 116. | 5861019         | 01/19/99 | Sun, et al.           | 607   | 060      | 7/25/97     |
|                     | 117. | 5871514         | 02/16/99 | Wiklund, et al.       | 607   | 036      | 8/1/97      |
|                     | 118. | 5882494         | 03/16/99 | Van Antwerp           | 600   | 347      | 8/28/95     |
|                     | 119. | 5897578         | 04/27/99 | Wiklund, et al.       | 607   | 036      | 8/27/98     |
|                     | 120. | 5904708         | 05/18/99 | Goedeke               | 607   | 018      | 3/19/98     |
| •                   | 121. | 5910554         | 06/08/99 | Kempe, et al.         | 526   | 320      | 6/6/97      |
|                     | 122. | 5913998         | 06/22/99 | Butler, et al.        | 156   | 245      | 1/9/97      |
|                     | 123. | 5914026         | 06/22/99 | Blubaugh, Jr., et al. | 600   | 347      | 1/6/97      |
|                     | 124. | 5919215         | 07/06/99 | Wiklund, et al.       | 607   | 036      | 8/27/98     |
|                     | 125. | 5964261         | 10/12/99 | Neuenfeldt, et al.    | 141   | 327      | 5/28/97     |
|                     | 126. | 5964804         | 10/12/99 | Brauker, et al.       | 424   | 423      | 6/7/95      |
|                     | 127. | 5965380         | 10/12/99 | Heller, et al.        | 435   | 014      | 1/12/99     |
|                     | 128. | 5976085         | 11/02/99 | Kimball, et al.       | 600   | 309      | 10/7/97     |
|                     | 129. | 5985129         | 11/16/99 | Gough, et al.         | 205   | 724      | 4/28/92     |
|                     | 130. | 5999848         | 12/07/99 | Gord, et al.          | 607   | 002      | 9/12/97     |
|                     | 131. | 6001067         | 12/14/99 | Shults, et al.        | 600   | 584      | 3/4/97      |
|                     | 132. | 6016448         | 01/18/00 | Busacker, et al.      | 607   | 029      | 10/27/98    |
|                     | 133. | 6063637         | 05/16/00 | Arnold, et al.        |       |          | 7/7/97      |
| <del></del>         | 134. | 6081736         | 06/27/00 | Colvin, et al.        | 600   | 377      | 10/20/97    |
|                     | 135. | 6083710         | 07/04/00 | Heller, et al.        | 600   | 347      | 6/16/99     |

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SHEET 6 OF 19 FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE ATTY. DOCKET NO. APPLICATION NO. PATENT AND TRADEMARK OFFICE DEXCOM.006C1 10/768,889 INFORMATION DISCLOSURE STATEMENT BY APPLICANT APPLICANT Brauker, et al. (USE SEVERAL SHEETS IF NECESSARY) FILING DATE **GROUP** January 29, 2004 3738

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|---------------------|------|--|----------|-----------------------|-------|----------|--------------------------------|
| EXAMINER<br>INITIAL |      | DOCUMENT NUMBER                                | DATE     | NAME                  | CLASS | SUBCLASS | FILING DATE<br>(IF APPROPRIATE |
| •                   | 136. | 6088608  | 07/11/00 | Schulman, et al.      | 600   | 345      | 10/20/97                       |
|                     | 137. | 6119028  | 09/12/00 | Schulman, et al.      | 600   | 345      | 10/20/97                       |
|                     | 138. | 6135978  | 10/24/00 | Houben, et al.        | 604   | 066      | 3/22/99                        |
|                     | 139. | 6144869  | 11/07/00 | Berner, et al.        | 600   | 347      | 5/11/99                        |
|                     | 140. | 6162611  | 12/19/00 | Heller, et al.        | 435   | 014      | 1/3/00                         |
| •                   | 141. | 6175752  | 01/16/01 | Say, et al.           | 600   | 345      | 4/30/98                        |
|                     | 142. | 6200772  | 03/13/01 | Vadgama, et al.       | 435   | 025      | 5/10/00                        |
|                     | 143. | 6201980  | 03/13/01 | Darrow, et al.        | 600   | 347      | 10/5/98                        |
|                     | 144. | 6208894  | 03/27/01 | Schulman, et al.      | 607   | 002      | 3/25/98                        |
|                     | 145. | 6212416  | 04/03/01 | Ward, et al.          | 600   | 345      | 5/22/98                        |
|                     | 146. | 6230059  | 05/08/01 | Duffin                | 607   | 060      | 3/17/99                        |
|                     | 147. | 6231879  | 05/15/01 | Li, et al.            | 424   | 422      | 2/9/99                         |
|                     | 148. | 6233471  | 05/15/01 | Berner, et al.        | 600   | 345      | 5/11/99                        |
|                     | 149. | . 6241863                                      | 06/05/01 | Monbouquette          | 205   | 777.5    | 4/27/99                        |
|                     | 150. | 6248067  | 6/19/01  | Causey, III, et al.   | 600   | 365      | 2/5/99                         |
|                     | 151. | 6256522  | 7/3/01   | Schultz               | 600   | 317      | 8/17/95                        |
|                     | 152. | 6259937  | 7/10/01  | Schulman, et al.      | 600   | 345      | 6/19/98                        |
|                     | 153. | 6274285  | 8/14/01  | Gries, et al.         | 430   | 162      | 11/12/99                       |
|                     | 154. | 6284478  | 9/4/01   | Heller, et al.        | 435   | 014      | 12/4/96                        |
|                     | 155. | 6299578  | 10/9/01  | Kurnik, et al.        | 600   | 309      | 9/18/97                        |
|                     | 156. | 6309351  | 10/30/01 | Kurnik, et al.        | 600   | 309      | 8/28/00                        |
|                     | 157. | 6309384  | 10/30/01 | Harrington, et al.    | 606   | 028      | 2/1/99                         |
|                     | 158. | 6325978  | 12/4/01  | Labuda, et al.        | 422   | 084      | 8/4/98                         |
|                     | 159. | 6329161  | 12/11/01 | Heller, et al.        | 435   | 014      | 9/22/00                        |
| _                   | 160. | 6365670  | 4/2/02   | Fry                   | 524   | 862      | 3/10/00                        |
|                     | 161. | 6372244  | 4/16/02  | Antanavich, et al.    | 424   | 423      | 8/25/00                        |
| <u> </u>            | 162. | 6447542  | 9/10/02  | Weadock               |       |          | 7/11/00                        |

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Receipt date: 05/02/2005 10768889 - GAU: 3768

**SHEET 7 OF 19 FORM PTO-1449** U.S. DEPARTMENT OF COMMERCE ATTY. DOCKET NO. APPLICATION NO. PATENT AND TRADEMARK OFFICE DEXCOM.006C1 10/768,889 INFORMATION DISCLOSURE STATEMENT BY APPLICANT APPLICANT Brauker, et al. (USE SEVERAL SHEETS IF NECESSARY) FILING DATE **GROUP** January 29, 2004 3738

| EXAMINER |              | DOCUMENT NUMBER | DATE       | NAME               | CLASS | SUBCLASS | FILING DATE                 |
|----------|--------------|-----------------|------------|--------------------|-------|----------|-----------------------------|
| INITIAL  | 163.         | 6459917         | 10/1/02    | Gowda, et al.      |       |          | (IF APPROPRIATE)<br>5/22/00 |
|          | 164.         | 6461496         | 10/8/02    | Feldman, et al.    |       |          | 10/27/99                    |
|          | 165.         | 6471689         | 10/29/02   |                    |       |          |                             |
|          | <b>├</b> ──┼ |                 |            | Joseph, et al.     |       |          | 08/15/00                    |
|          | 166.         | 6475750         | 11/5/02    | Han, et al.        |       |          | 08/23/00                    |
|          | 167.         | 6477392         | 11/5/02    | Honigs, et al.     |       |          | 07/14/00                    |
|          | 168.         | 6477395         | 11/5/02    | Schulman, et al.   |       |          | 09/14/99                    |
|          | 169.         | 6514718         | 2/4/03     | Heller, et al.     | 435   | 014      | 11/29/01                    |
|          | 170.         | 6520997         | 2/18/03    | Pekkarinen, et al. |       |          | 12/07/00                    |
|          | 171.         | 6527729         | 3/4/03     | Turcott            |       |          | 10/11/00                    |
|          | 172.         | 6537318         | 3/25/03    | Ita, et al.        |       |          | 04/06/98                    |
|          | 173.         | 6541107         | 4/1/03     | Zhong, et al.      | 428   | 312.6    | 10/25/99                    |
|          | 174.         | 6545085         | 4/8/03     | Kilgour, et al.    |       |          | 10/05/01                    |
|          | 175.         | 6546268         | 4/8/03     | Ishikawa, et al.   |       |          | 06/02/00                    |
|          | 176.         | 6551496         | 4/22/03    | Moles, et al.      |       |          | 03/06/01                    |
|          | 177.         | 6,558,321       | 05/06/03   | Burd, et al.       |       |          | 08/11/00                    |
|          | 178.         | 6579498         | 6/17/03    | Eglise             |       |          | 10/11/00                    |
|          | 179.         | 6615078         | 9/2/03     | Burson, et al.     |       |          | 04/21/00                    |
|          | 180.         | 6618934         | 9/16/03    | Feldman, et al.    |       |          | 06/15/00                    |
|          | 181.         | 6,702,857       | 03/09/04   | Brauker, et al.    |       |          | 07/27/01                    |
|          | 182.         | 6,741,877       | 05/25/04   | Shults, et al.     |       |          | 01/21/00                    |
|          | 183.         | 3,775,182       | 11/27/1973 | Patton et al.      |       |          |                             |
|          | 184.         | 4,197,840       | 4/15/1980  | Beck et al.        |       |          |                             |
|          | 185.         | 4,255,500       | 3/10/1981  | Hooke              |       |          |                             |
|          | 186.         | 4,374,013       | 2/15/1983  | Enfors             |       |          |                             |
|          | 187.         | 4,871,440       | 10/3/1989  | Nagata et al.      |       |          |                             |
|          | 188.         | 4,927,407       | 5/22/1990  | Dorman             |       |          |                             |
|          | 189.         | 5,171,689       | 12/15/1992 | Kawaguri et al.    |       |          |                             |

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| *EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WIT |                 |

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|   |                                  | SHEET 8 OF 19                 |
|---|----------------------------------|-------------------------------|
| FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | ATTY. DOCKET NO.<br>DEXCOM.006C1 | APPLICATION NO.<br>10/768,889 |
| INFORMATION DISCLOSURE STATEMENT                                      |                                  |                               |
| BY APPLICANT  | APPLICANT<br>Brauker, et al.     |                               |
| (USE SEVERAL SHEETS IF NECESSARY)                                     | FILING DATE<br>January 29, 2004  | GROUP<br>3738                 |

| •                   |      |                 |            | U.S. PATENT DOCUMENTS |       |          |   |
|---------------------|------|-----------------|------------|-----------------------|-------|----------|---|
| EXAMINER<br>INITIAL |      | DOCUMENT NUMBER | DATE       | NAME                  | CLASS | SUBCLASS | FILING DATE<br>(IF APPROPRIATE)         |
| •                   | 190. | 5,282,848       | 2/1/1994   | Schmitt               |       |          | (11 711 7 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 |
|                     | 191. | 5384028         | 1/24/1995  | Ito                   |       |          |   |
|                     | 192. | 5,571,395       | 11/5/1996  | Park et al.           |       |          |   |
|                     | 193. | 5,575,930       | 11/19/1996 | Tietje-Girault et al. |       |          |   |
|                     | 194. | 5,584,876       | 12/17/1996 | Bruchman et al.       |       |          |   |
| •                   | 195. | 5,683,562       | 11/4/1997  | Schaffar et al.       |       |          |   |
|                     | 196. | 5,686,829       | 11/11/1997 | Girault               |       |          |   |
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|                     | 198. | 5,833,603       | 11/10/1998 | Kovacs et al.         |       |          |   |
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|                     | 200. | 5,964,993       | 10/12/1999 | Blubaugh et al.       |       |          |   |
|                     | 201. | 6,011,984       | 1/4/2000   | Van Antwerp et al.    |       |          |   |
|                     | 202. | 6,013,113       | 1/11/2000  | Mika                  |       |          |   |
| <del></del>         | 203. | 6,049,727       | 04/11/2000 | Crothall              |       |          | <u> </u>                                |
| ·                   | 204. | 6,187,062       | 2/13/2002  | Oweis et al.          |       |          |   |
|                     | 205. | 6,275,717       | 8/14/2001  | Gross, et al.         |       |          |   |
|                     | 206. | 6,300,002       | 10/9/2001  | Webb et al.           |       |          |   |
|                     | 207. | 6,325,979       | 12/4/2001  | Hahn et al.           |       |          |   |
|                     | 208. | 6,330,464       | 12/11/2001 | Colvin et al.         |       |          |   |
|                     | 209. | 6,400,974       | 6/4/2002   | Lesho                 |       |          |   |
|                     | 210. | 6,409,674       | 6/25/2002  | Brockway et al.       |       |          |   |
|                     | 211. | 6,447,448       | 9/10/2002  | Ishikawa et al.       |       |          | · · · · · · · · · · · · · · · · · · ·   |
|                     | 212. | 6,454,710       | 9/24/2002  | Ballerstadt et al.    |       |          | <u> </u>                                |
|                     | 213. | 6,466,810       | 10/15/2002 | Ward, et al.          |       |          | · · · · · · · · · · · · · · · · · · ·   |
| ·                   | 214. | 6,547,839       | 4/15/2003  | Zhang et al.          |       |          |   |
| <del> </del>        | 215. | 2003-0006669    | 1/9/2003   | Pei et al.            |       |          |   |
|                     | 216. | 2003-0023317    | 1/30/2002  | Brauker et al.        |       |          |   |

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|               |   |                                  | SHEET 9 OF 19                 |
|---------------|---|----------------------------------|-------------------------------|
| FORM PTO-1449 | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | ATTY. DOCKET NO.<br>DEXCOM.006C1 | APPLICATION NO.<br>10/768,889 |
| INFORMATION   | DISCLOSURE STATEMENT                                    |                                  |                               |
| В             | YAPPLICANT  | APPLICANT<br>Brauker, et al.     |                               |
| (USE SEVERA   | L SHEETS IF NECESSARY)                                  | FILING DATE<br>January 29, 2004  | GROUP<br>3738                 |

| EXAMINER<br>INITIAL |      | DOCUMENT NUMBER | DATE       | NAME                 | CLASS | SUBCLASS | FILING DATE<br>(IF APPROPRIATE) |
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|                     | 217. | 2003-0070548    | 4/17/2003  | Clausen              |       |          |                                 |
|                     | 218. | 2003-0125613    | 7/3/2003   | Enegren et al.       |       |          |                                 |
|                     | 219. | 2004-0106857    | 06/03/2004 | Gough                | -     |          |                                 |
|                     | 220. | US3964974       | 06-22-1976 | Banauch, et al.      |       |          |                                 |
|                     | 221. | US4024312       | 05-17-1977 | Korpman, Ralf        |       |          |                                 |
| •                   | 222. | US4215703       | 08-05-1980 | Willson, James K. V. |       |          |                                 |
|                     | 223. | US4259540       | 03-31-1981 | Sabia, Raffaele A.   |       |          |                                 |
| •                   | 224. | US4663824       | 05-12-1987 | Kenmochi, Kazuei     |       |          |                                 |
|                     | 225. | US4871440       | 10-03-1989 | Nagata, et al.       |       |          |                                 |
|                     | 226. | US5067491       | 11-26-1991 | Taylor, et al.       |       |          |                                 |
|                     | 227. | US5285513       | 02-08-1994 | Kaufman, et al.      |       |          |                                 |
|                     | 228. | US5304468       | 04-19-1994 | Phillips, et al.     |       |          | ****                            |
|                     | 229. | US5310469       | 05-10-1994 | Cunningham, et al.   |       |          |                                 |
|                     | 230. | US5330521       | 07-19-1994 | Cohen, Donald M.     |       |          |                                 |
|                     | 231. | US5342409       | 08-30-1994 | Mullett, Keith R.    |       |          |                                 |
|                     | 232. | US5343869       | 09-06-1994 | Pross, et al.        |       |          |                                 |
|                     | 233. | US5390671       | 02-21-1995 | Lord, et al.         |       |          |                                 |
|                     | 234. | US5411647       | 05-02-1995 | Johnson, et al.      |       |          |                                 |
|                     | 235. | US5484404       | 01-16-1996 | Schulman, et al.     |       |          |                                 |
|                     | 236. | US5491474       | 02-13-1996 | Suni, et al.         |       |          |                                 |
|                     | 237. | US5568806       | 10-29-1996 | Cheney, et al.       |       |          |                                 |
|                     | 238. | US5586553       | 12-24-1996 | Halili, et al.       |       | -        |                                 |
|                     | 239. | US5590651       | 01-07-1997 | Shaffer, et al.      |       |          |                                 |
|                     | 240. | US5624537       | 04-29-1997 | Turner, et al.       |       |          |                                 |
|                     | 241. | US5660163       | 08-26-1997 | Schulman, et al.     |       |          |                                 |
|                     | 242. | US5779665       | 07-14-1998 | Mastrototaro, et al. |       |          |                                 |
|                     | 243. | US5851197       | 12-22-1998 | Marano, et al.       |       |          | <u>-</u>                        |

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| FORM PTO-1449 | U.S. DEPARTMENT OF COMMERCE<br>PATENT AND TRADEMARK OFFICE | ATTY. DOCKET NO.<br>DEXCOM.006C1 | APPLICATION NO.<br>10/768,889 |  |
|---------------|--|----------------------------------|-------------------------------|--|
| INFORMATION   | DISCLOSURE STATEMENT                                       | _                                |                               |  |
| В             | YAPPLICANT   | APPLICANT<br>Brauker, et al.     |                               |  |
| (USE SEVERAL  | SHEETS IF NECESSARY)                                       | FILING DATE<br>January 29, 2004  | GROUP<br>3738                 |  |

| <del></del>         | <del>,                                    </del> |                 | <u> </u> " | U.S. PATENT DOCUMENTS |       | 1        |                                 |
|---------------------|--|-----------------|------------|-----------------------|-------|----------|---------------------------------|
| EXAMINER<br>INITIAL |  | DOCUMENT NUMBER | DATE       | NAME                  | CLASS | SUBCLASS | FILING DATE<br>(IF APPROPRIATE) |
|                     | 244.   | US5917346       | 06-29-1999 | Gord, John C.         |       |          |                                 |
|                     | 245.   | US5931814       | 08-03-1999 | Alex, et al.          |       |          |                                 |
|                     | 246.   | US5957903       | 09-28-1999 | Mirzaee, et al.       |       |          |                                 |
|                     | 247.   | US6001471       | 12-14-1999 | Bries, et al.         |       |          |                                 |
|                     | 248.   | US6093172       | 07-25-2000 | Funderburk, et al.    |       |          |                                 |
|                     | 249.   | US6103033       | 08-15-2000 | Say, et al.           |       |          |                                 |
|                     | 250.   | US6115634       | 09-05-2000 | Donders, et al.       |       |          |                                 |
|                     | 251.   | US6121009       | 09-19-2000 | Heller, et al.        | _     |          |                                 |
|                     | 252.   | US6134461       | 10-17-2000 | Say, et al.           |       |          |                                 |
|                     | 253.   | US6167614       | 01-02-2001 | Tuttle, et al.        |       |          |                                 |
|                     | 254.   | US6189536       | 02-20-2001 | Martinez, et al.      |       |          |                                 |
| ·                   | 255.   | US6206856       | 03-27-2001 | Mahurkar, Sakharam D. |       |          |                                 |
|                     | 256.   | US6208894       | 03-27-2001 | Schulman, et al.      |       |          |                                 |
|                     | 257.   | US6212416       | 04-03-2001 | Ward, et al.          |       |          |                                 |
|                     | 258.   | US6214185       | 04-10-2001 | Offenbacher, et al.   |       |          |                                 |
|                     | 259.   | US6259937       | 07-10-2001 | Schulman, et al.      |       |          |                                 |
|                     | 260.   | US6293925       | 09-25-2001 | Safabash, et al.      |       |          |                                 |
|                     | 261.   | US6368274       | 04-09-2002 | Van Antwerp et al.    |       |          | -                               |
| -                   | 262.   | US6405066       | 06-11-2002 | Essenpreis, et al.    |       |          |                                 |
|                     | 263.   | US6406066       | 06-18-2002 | Uegane, Masayuki      |       |          |                                 |
|                     | 264.   | US6413393       | 07-02-2002 | Van Antwerp et al.    |       |          |                                 |
|                     | 265.   | US6424847       | 07-23-2002 | Mastrototaro, et al.  |       |          |                                 |
|                     | 266.   | US6481440       | 11-19-2002 | Gielen, et al.        |       |          |                                 |
|                     | 267.   | US6498043       | 12-24-2002 | Schulman, et al.      |       |          |                                 |
|                     | 268.   | US6560471       | 05-06-2003 | Heller, et al.        | •     |          |                                 |
|                     | 269.   | US6569521       | 05-27-2003 | Sheridan, et al.      |       |          |                                 |
|                     | 270.   | US6585763       | 07-01-2003 | Keilman, et al.       |       |          |                                 |

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| SHEET    | 11  | OF       | 19      |  |
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|---|----------------------------------|-------------------------------|
| FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | ATTY. DOCKET NO.<br>DEXCOM.006C1 | APPLICATION NO.<br>10/768,889 |
| INFORMATION DISCLOSURE STATEMENT                                      |                                  |                               |
| BY APPLICANT  | APPLICANT<br>Brauker, et al.     |                               |
| (USE SEVERAL SHEETS IF NECESSARY)                                     | FILING DATE<br>January 29, 2004  | GROUP<br>3738                 |

| •                                     |      |                 |            | U.S. PATENT DOCUMENTS |       |          |                                 |
|---------------------------------------|------|-----------------|------------|-----------------------|-------|----------|---------------------------------|
| EXAMINER<br>INITIAL                   |      | DOCUMENT NUMBER | DATE       | NAME                  | CLASS | SUBCLASS | FILING DATE<br>(IF APPROPRIATE) |
| •                                     | 271. | US6607509       | 08-19-2003 | Bobroff, et al.       |       |          |                                 |
|                                       | 272. | US6613379       | 09-02-2003 | Ward, et al.          |       | _        |                                 |
|                                       | 273. | US6642015       | 11-04-2003 | Vachon, et al.        |       |          |                                 |
|                                       | 274. | US6645181       | 11-11-2003 | Lavi, et al.          |       |          |                                 |
|                                       | 275. | US6648821       | 11-18-2003 | Lebel, et al.         |       |          |                                 |
|                                       | 276. | US6654625       | 11-25-2003 | Say, et al.           |       |          |                                 |
|                                       | 277. | US6683535       | 01-27-2004 | Utke, Gene H.         |       |          |                                 |
| · · · · · · · · · · · · · · · · · · · | 278. | US6694191       | 02-17-2004 | Starkweather, et al.  |       |          |                                 |
|                                       | 279. | US6695860       | 02-24-2004 | Ward, et al.          |       |          |                                 |
|                                       | 280. | US6699218       | 03-02-2004 | Flaherty, et al.      |       |          |                                 |
|                                       | 281. | US6721587       | 04-13-2004 | Gough, David A.       |       |          |                                 |
|                                       | 282. | US6731976       | 05-04-2004 | Penn, et al.          |       |          |                                 |
|                                       | 283. | US6740075       | 05-25-2004 | Lebel, et al.         |       |          |                                 |
|                                       | 284. | US6810290       | 10-26-2004 | Lebel, et al.         |       |          |                                 |
|                                       | 285. | US2003188427A1  | 10-09-2003 | Say, et al.           |       |          |                                 |
|                                       | 286. | US2003199744A1  | 10-23-2003 | Buse, et al.          | 1     |          |                                 |
|                                       | 287. | US2004010207A1  | 01-15-2004 | Flaherty, et al.      |       |          |                                 |
|                                       | 288. | US2004015134A1  | 01-22-2004 | Lavi, et al.          |       |          |                                 |
|                                       | 289. | US2004030285A1  | 02-12-2004 | Lavi, et al.          |       |          |                                 |
|                                       | 290. | US2004030294A1  | 02-12-2004 | Mahurkar, Sakharam D. |       |          |                                 |
|                                       | 291. | US2004039406A1  | 02-26-2004 | Jessen, Jonh W.       |       |          | ,                               |
|                                       | 292. | US2004068230A1  | 04-08-2004 | Estes, et al.         |       |          |                                 |
|                                       | 293. | US2004186365A1  | 09-23-2004 | Jin, et al.           |       |          |                                 |
|                                       | 294. | US2004219664A1  | 11-04-2004 | Heller, et al.        |       |          |                                 |

|          |                 | FORE | EIGN PATENT DOCUMENTS |       | <del></del> |             |
|----------|-----------------|------|-----------------------|-------|-------------|-------------|
| EXAMINER | DOCUMENT NUMBER | DATE | COUNTRY               | CLASS | SUBCLASS    | TRANSLATION |

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| FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE |                                 | APPLICATION NO.<br>10/768,889 |                |
| INFORMATION DISCLOSURE STATEMENT                                      |                                 |                               |                |
| BY APPLICANT  | APPLICANT<br>Brauker, et al.    |                               |                |
| (USE SEVERAL SHEETS IF NECESSARY)                                     | FILING DATE<br>January 29, 2004 | GROUP<br>3738                 |                |

| INITIAL |      |              |            |                |   |   | YES | NO |
|---------|------|--------------|------------|----------------|---|---|-----|----|
|         | 295. | EP0107634    | 5/2/84     | EPO            |   |   |     |    |
| ٠       | 296. | EP0535898    | 4/7/93     | EPO            |   |   |     |    |
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|         | 298. | EP0885932    | 12/23/98   | EPO            |   |   |     |    |
|         | 299. | FR 2760962   | 9/25/98    | France         |   |   |     | ×  |
|         | 300. | GB 1442303   | 7/14/76    | United Kingdom |   |   |     |    |
|         | 301. | WO0019887    | 4/13/00    | PCT            | - |   |     |    |
|         | 302. | WO0033065    | 6/8/00     | PCT            |   |   |     |    |
|         | 303. | WO0120019    | 3/22/01    | PCT            |   |   |     |    |
| •       | 304. | WO0120334    | 3/22/01    | PCT            |   |   |     |    |
|         | 305. | WO 01/58348  | 8/16/01    | PCT            |   |   |     |    |
|         | 306. | WO 01/88524  | 11/22/01   | PCT            |   |   |     |    |
|         | 307. | WO 02/053764 | 7/11/02    | PCT            |   |   |     |    |
|         | 308. | WO 90/00738  | 1/25/90    | PCT            |   | - |     |    |
|         | 309. | WO 92/07525  | 5/14/92    | PCT            |   |   |     |    |
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|         | 311. | WO 93/19701  | 10/14/93   | PCT            |   |   |     |    |
|         | 312. | WO 96/01611  | 1/25/96    | PCT            |   |   |     |    |
| ·       | 313. | WO 96/30431  | 10/3/96    | PCT            |   |   |     |    |
|         | 314. | WO 96/32076  | 10/17/96   | PCT            |   |   |     |    |
|         | 315. | WO 96/36296  | 11/21/96   | PCT            |   |   |     |    |
|         | 316. | EP 0 534 074 | 3/31/1993  | EPO            |   |   |     |    |
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|         | 318. | JP 62083849  | 4/17/1987  | Japan          |   |   |     |    |
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| FORM PTO-1449 | U.S. DEPARTMENT OF COMMERCE<br>PATENT AND TRADEMARK OFFICE | ATTY. DOCKET NO.<br>DEXCOM.006C1 | APPLICATION NO.<br>10/768,889 |                |
| INFORMATION   | DISCLOSURE STATEMENT                                       |                                  | <br><u> </u>                  | . <u>f</u>     |
| 8             | Y APPLICANT  | APPLICANT<br>Brauker, et al.     |                               |                |
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| INFORMATION   | DISCLOSURE STATEMENT                                    |                                  | <u> </u>                      |
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| FORM PTO-1449 | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | ATTY. DOCKET NO.<br>DEXCOM.006C1 | APPLICATION NO.<br>10/768,889         |
| INFORMATION   | DISCLOSURE STATEMENT                                    |                                  |                                       |
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| INFORMATION   | DISCLOSURE STATEMENT                                    |                                  |                               |
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| FORM PTO-1449 | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | ATTY. DOCKET NO.<br>DEXCOM.006C1 | APPLICATION NO.<br>10/768,889 |
| INFORMATION   | DISCLOSURE STATEMENT                                    |                                  |                               |
| В             | YAPPLICANT  | APPLICANT<br>Brauker, et al.     |                               |
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